

Design and rationale for examining neuroimaging genetics in ischemic stroke

The MRI-GENIE study

OPEN

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ABSTRACT

Objective: To describe the design and rationale for the genetic analysis of acute and chronic cerebrovascular neuroimaging phenotypes detected on clinical MRI in patients with acute ischemic stroke (AIS) within the scope of the MRI-GENetics Interface Exploration (MRI-GENIE) study.

Methods: MRI-GENIE capitalizes on the existing infrastructure of the Stroke Genetics Network (SiGN). In total, 12 international SiGN sites contributed MRIs of 3,301 patients with AIS. Detailed clinical phenotyping with the web-based Causative Classification of Stroke (CCS) system and genome-wide genotyping data were available for all participants. Neuroimaging analyses include the manual and automated assessments of established MRI markers. A high-throughput MRI analysis pipeline for the automated assessment of cerebrovascular lesions on clinical scans will be developed in a subset of scans for both acute and chronic lesions, validated against gold standard, and applied to all available scans. The extracted neuroimaging phenotypes will improve characterization of acute and chronic cerebrovascular lesions in ischemic stroke, including CCS subtypes, and their effect on functional outcomes after stroke. Moreover, genetic testing will uncover variants associated with acute and chronic MRI manifestations of cerebrovascular disease.

Conclusions: The MRI-GENIE study aims to develop, validate, and distribute the MRI analysis platform for scans acquired as part of clinical care for patients with AIS, which will lead to (1) novel genetic discoveries in ischemic stroke, (2) strategies for personalized stroke risk assessment, and (3) personalized stroke outcome assessment. *Neurol Genet* 2017;3:e180; doi: 10.1212/NXG.000000000000180

GLOSSARY

ADC = apparent diffusion coefficient; **AIS** = acute ischemic stroke; **CE** = cardioembolic; **CCS** = Causative Classification of Stroke; **CCSc** = causative CCS; **DICOM** = Digital Imaging and Communications in Medicine; **DWI** = diffusion-weighted imaging; **DWIV** = DWI volume; **FLAIR** = fluid-attenuated inversion recovery; **GISCOME** = Genetics of Ischemic Stroke Functional Outcome; **GWAS** = genome-wide association studies; **ICC** = intraclass correlation coefficient; **LAA** = large artery atherosclerosis; **MGH** = Massachusetts General Hospital; **MRI-GENIE** = MRI-GENetics Interface Exploration; **mRS** = modified Rankin Scale; **PHI** = protected health information; **QC** = quality control; **SAO** = small artery occlusion; **SiGN** = Stroke Genetics Network; **SNP** = single nucleotide polymorphism; **SWI** = susceptibility-weighted imaging; **TOAST** = Trial of Org 10172 Acute Stroke Treatment; **VLSM** = voxel-based lesion-symptom mapping; **WMHv** = white matter hyperintensity volume; **XNAT** = eXtensible Neuroimaging Archive Toolkit.

Genome-wide association studies (GWAS) have been instrumental in elucidating the genetics of complex vascular traits (ischemic stroke^{1,2} and coronary artery disease^{3,4}) and their risk factors (blood pressure,⁵ atrial fibrillation,⁶ hyperlipidemia,⁷ and diabetes mellitus⁸). Despite recent advances in prevention and treatment, stroke remains a leading cause of adult neurologic disability and death in the United States and worldwide.⁹ Recent GWAS have uncovered several risk loci for ischemic stroke and its subtypes,^{10,11} specifically *PITX2* and *ZFHX3* for cardioembolic (CE) stroke,^{11,12} *HDAC9*^{11,12} and *TSPAN2*¹¹ for large artery stroke, and *ALDH2*¹¹ for small artery stroke. These results highlight the necessity for large-scale collaborations such as

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METASTROKE and Stroke Genetics Network (SiGN) to identify risk loci for these complex diseases.

Improving our understanding of the mechanisms underlying stroke is crucial, as treatment options remain limited for this debilitating disease. Unlike clinical diagnosis of stroke, cerebrovascular phenotypes detected on brain MRI can be characterized with high validity and quantified with good precision using advanced neuroimaging analysis techniques.¹³ Targeting these specific endophenotypes will lead to substantial pathophysiologic insight into stroke mechanisms and foster future advances in individualized therapy and prevention.

The MRI–Genetics Interface Exploration (MRI-GENIE) study aims to bridge current knowledge gaps by facilitating genetic discovery and developing novel therapeutic and preventive strategies in stroke through automated, multimodal MRI analysis. Leveraging the existing infrastructure of SiGN and harnessing its expertise, MRI-GENIE focuses on the subset of richly phenotyped and genotyped participants for whom clinical MRIs have been obtained. This article outlines the premises, methodology, and aims of MRI-GENIE.

METHODS MRI-GENIE capitalizes on SiGN, an ongoing multicenter, NIH-funded collaboration within the community of stroke neurologists, geneticists, and neuroimaging analysts, which enabled the initial development of the SiGN Imaging Platform.¹⁴ We have amassed the largest-to-date collection of ischemic stroke cases with comprehensively ascertained cerebrovascular phenotypes and genome-wide data. The project is funded by the NIH-NINDS (R01NS086905, N.R. Rost—PI) to undertake the first major study to jointly model MRI-derived traits obtained during acute ischemic stroke (AIS) evaluation, causative and phenotypic stroke subtypes, and traditional vascular risk factors to accelerate the pace of genetic discoveries and advance clinical applications in risk and outcome prediction in ischemic stroke.

Structure of MRI-GENIE. Participating study sites. MRI-GENIE is founded on the existing collaborations between members of the multidisciplinary clinical stroke research team at Massachusetts General Hospital (MGH), Massachusetts Institute of Technology (MIT), and the NINDS SiGN investigators. To date, 12 sites from the initial SiGN study have contributed phenotypes, images, and genotypic data of 3,301 participants to MRI-GENIE (For a summary, see table and figure; detailed study descriptions have been published previously¹¹). Of those sites, 7 are European centers (BASICMAR—Spain, BRAINS—United Kingdom, GRAZ—Austria, KRAKOW—Poland, LEUVEN—Belgium, LUND STROKE REGISTER—Sweden, and SAHLSIS—Sweden) and 5 are based in the United States (GASROS, GCNKSS, GEOS, ISGS, and MIAMISR). Informed consent of

study participants to data sharing was mandatory for all sites. Shared data include basic demographics, vascular risk factors and detailed Causative Classification of Stroke (CCS) phenotyping, genotypic data, and clinical MRIs.

Study oversight. The primary aims and progress of the MRI-GENIE study are overseen by a Scientific Steering Committee. In conjunction with the SiGN Publication Committee, the MRI-GENIE Steering Committee critically reviews project proposals by collaborators to avoid potential overlap with existing SiGN projects and to assess feasibility of the proposed projects. This effort is supported by the Phenotyping Committee, which is in charge of the data access to phenotypes previously obtained through SiGN, current data acquisition for neuroimaging markers, and quality control (QC) of new neuroimaging phenotypes. In addition, it is responsible for the oversight of statistical analysis of MRI-derived phenotypes and functional outcomes related to specific stroke subtypes. The Neuroimaging Analysis Committee is in charge of designing, validating, and implementing the MRI pipeline to automatically assess acute and chronic neuroimaging markers. Moreover, it facilitates and monitors the assessment of manually obtained neuroimaging markers. The Genetic Analysis Committee conducts the primary genetic analyses for the MRI-GENIE study to identify genetic variants associated with acute and chronic MRI-based manifestations of cerebrovascular disease. It is also essential in conducting secondary analyses and additional projects as proposed by collaborators (detailed listings of committee memberships are available in coinvestigator appendix e-1 at Neurology.org/ng).

Imaging platform. An integral part of the MRI-GENIE study is the centrally maintained imaging platform hosting deidentified acute or subacute brain MRIs obtained within 48 hours of symptom onset from all contributing SiGN sites. The MRI-GENIE Imaging Platform is maintained centrally at MGH and has been described previously.¹⁴ Initially developed in the scope of an NIH-funded project to create a centralized system to share canonical human stroke data (R01 NS063925-01A1, O. Wu/Sorensen—PI), the underlying technology for the imaging platform integrates the eXtensible Neuroimaging Archive Toolkit (XNAT)¹⁵ as the back-end data repository with a flexible, open-source content management system with user-friendly features (conglomeration of Plone,¹⁶ Deliverance,¹⁷ and NGINX¹⁸) as the front end for the users. An example of such features is the ability to search the imaging repository by stroke-specific clinical phenotypic variables (e.g., age, sex, or infarct location). Images can be viewed for semiquantitative analysis via a web-based XNAT plugin.¹⁵

Upon receipt of MRIs from the individual sites in the “Digital Imaging and Communications in Medicine” (DICOM) format, all images were deidentified to remove protected health information (PHI) potentially embedded into the DICOM headers. In addition, DICOM files with image type indicative of screen shots were removed to ensure elimination of files with potential PHI that may be “burned” into the screen shots. Each site provided phenotypic data (e.g., SiGN ID, sex, race, ethnicity, age, and infarct location). Age and sex were cross-referenced with phenotypes documented in the SiGN Phenotype Database, to flag potential discrepancies between databases.

The imaging platform is open to collaborators for the exploration of phenotypic and genetic underpinnings of AIS. Individual investigators receive access in a project-based manner, after the MRI-GENIE Scientific Steering Committee and the SiGN Publication Committee have reviewed the project proposal. Incorporating the computational workflow for automated segmentation of acute and chronic cerebrovascular phenotypes with

Table Basic demographic data for the MRI-GENIE study sites (n = 12)

Study name	Center	Total scans	Mean age (SD)	Sex (% female)	Race (% Caucasian)
BASICMAR	IMIM-Hospital del Mar, Spain	124	69.8 ± 11.0	37.1	94.4
BRAINS	Imperial College—London, UK	70	63.2 ± 16.4	47.1	94.3
GASROS	Mass General Hospital	457	64.9 ± 14.5	35.4	93.2
GCKSS	U Cincinnati	245	64.3 ± 14.3	49.0	72.7
GEOS	U Maryland	76	41.8 ± 6.5	26.3	52.6
SAHLSIS	U of Gothenburg—Sweden	401	52.4 ± 11.7	38.7	100
GRAZ	Medical University—Graz, Austria	373	63.3 ± 13.7	30.0	100
ISGS	Mayo Clinic—Florida	425	65.1 ± 14.7	40.9	84.2
KRAKOW	Jagiellonian University—Poland	224	60.5 ± 13.9	46.4	100
LEUVEN	U Hospitals—Leuven, Belgium	448	66.9 ± 14.7	42.0	99.6
LUND STROKE REGISTER	Lund University Hospital, Sweden	196	63.4 ± 12.8	39.3	100
MIAMISR	U Miami	262	62.1 ± 13.8	37.0	59.5

Abbreviation: MRI-GENIE = MRI-GENetics Interface Exploration.

the imaging platform will complete the process for centralized data abstraction, collection, and sharing for future genetic studies.

MRI-derived phenotypes. The analysis of MRI-derived phenotypes will include both automated, volumetric analyses as well as manual, semiquantitative analyses of acute and chronic cerebrovascular phenotypes.

Image preprocessing. Prior to phenotypic analyses, imaging data from some sites required a manual review. As part of the deidentification process, some sites removed all metadata information from the DICOM headers, including series description, prior to transmission of images. This necessitated a visual review of cases by a trained operator for sites for which no series descriptions were available. For these sites, sequence labels for T2 fluid-attenuated inversion recovery (T2 FLAIR), diffusion-weighted imaging (DWI), susceptibility-weighted imaging (SWI), and magnetic resonance angiography were ascertained. Although the quality of specific sequences may preclude future analysis of specific phenotypes, no participants were excluded for image quality reasons (e.g., motion artifact and low-quality images) or incomplete imaging data (e.g., missing FLAIR sequence) at this stage to maximize the overall sample size in analysis of individual phenotypes. For manual semiquantitative analyses, useful information can often be extracted (e.g., location of acute infarct) by expert image analysts despite artifacts (e.g., motion).

Image QC. Image sequences will be excluded from automated and semiquantitative analysis based on visual inspection due to excessive motion artifact, incomplete sequence acquisition, or severe bilateral brain pathology that precludes accurate assessment. Details of the MRI data acquisition that are embedded in the DICOM headers (e.g., MRI manufacturer, model name, field strength, dimensions, echo time, and repetition time) will be retained to allow for subset analysis of phenotypic information as a function of data acquisition variability.

Quantitative analyses of acute and chronic neuroimaging markers. The acute infarct volume measured on DWI will be analyzed through an automated segmentation algorithm.^{19,20} The algorithm will be trained on an independent cohort of acute stroke data and then applied to MRI-GENIE participants. The results will be validated across sites against a random sample set of images selected from each site for which manual lesion volumes

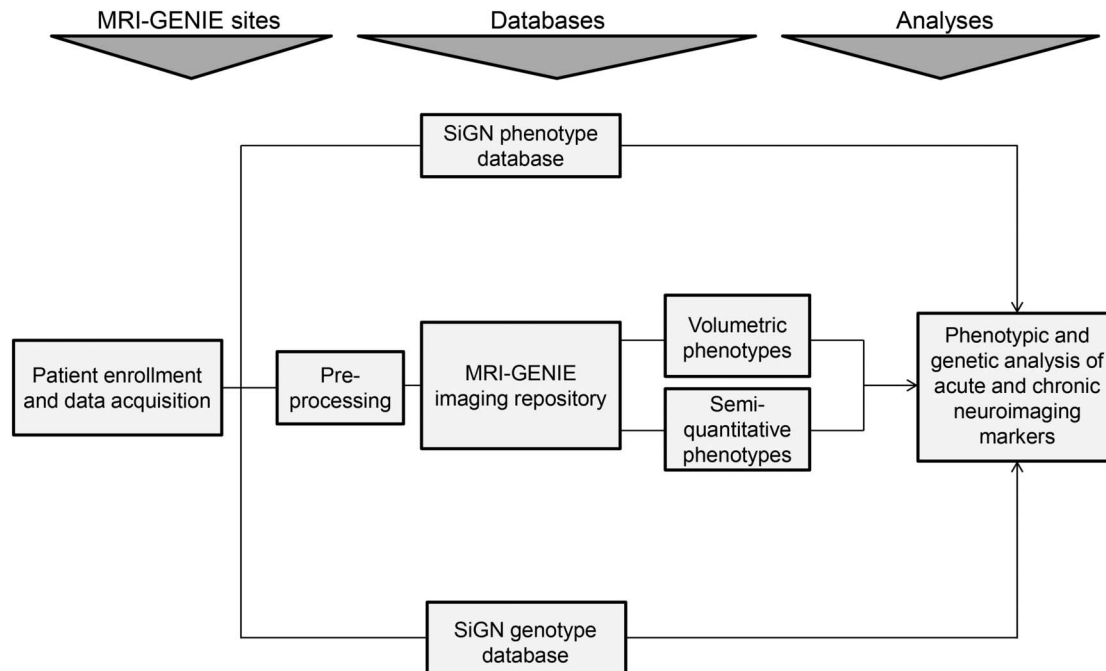
will be drawn. The automatically determined acute DWI volume (DWIv) will be used to evaluate the effect of stroke lesion volume and topography on poststroke outcomes. Voxel-based lesion-symptom mapping (VLSM) has been used previously to investigate the relationship between ischemic stroke lesion location and stroke severity and outcome, but so far has been limited to the middle cerebral artery territory. The sample size of MRI-GENIE will allow for investigating all vascular territories with VLSM.²¹

The pre-existing burden of cerebrovascular white matter disease, measured as white matter hyperintensity volume (WMHv), will be computed by a separate automatic analysis algorithm based on T2-FLAIR images.²² Key components of the WMH analysis will be the registration of all T2-FLAIR images to a common atlas space and segmentation of WMH based on the voxel intensity information and spatial priors that pattern WMH in the brain.²³ The algorithm will be trained on manually outlined WMH segmentations. Validation across sites will be performed for each site on a random sample of scans representing the entire severity spectrum of WMH.

QC of automated, quantitative phenotypes. The results of automatic acute DWI and WMH lesion analysis will be cross-validated with manually drawn lesion volumes obtained on a random subset of scans from each site representative of the disease spectrum. Intraclass correlation coefficient (ICC) will be used to assess the agreement between the manual and the automated volumes. Further evaluation of the automated algorithms will involve voxel-based comparison of the automated masks with manual outlines and classifying true positives, true negatives, false positives, and false negatives. Performance of the automated algorithms will be assessed by analysis of sensitivity, specificity, and Dice similarity coefficient.²⁴ Subset analysis of algorithm accuracy as a function of MRI data acquisition parameters will be performed to assess for bias as a function of scanner data quality.

Semiquantitative analysis of acute and chronic neuroimaging markers. MRIs will be systematically reviewed for acute and chronic markers of cerebrovascular disease to facilitate topography-based and stroke subtype-specific analyses. Specific data sets may be excluded on a case-by-case basis if artifacts preclude an accurate reading. DWI will be used to ascertain acute infarct location (vascular territories: middle cerebral artery, anterior cerebral artery, posterior cerebral artery, vertebrobasilar artery, and multiple vascular territories),

Figure Organizational structure of MRI-GENIE



MRI-GENIE = MRI-GENetics Interface Exploration; SiGN = Stroke Genetics Network.

number of acute infarct lesions, and DWI-based stroke subtypes (cortical, subcortical, and watershed infarct). When available, maps of the apparent diffusion coefficient (ADC) will be cross-referenced to minimize inclusion of lesions that are not pertinent to the index stroke. This is necessary because in the subacute to chronic stage, within hyperintense DWI lesions, the corresponding ADC values may be pseudonormal or elevated as a result of vasogenic edema.²⁵ T2-FLAIR sequences will be used to screen for subacute and chronic infarcts, as well as WMH severity using the Fazekas scale.²⁶ Cerebral microbleed (CMB, ≤ 10 mm) count and location, macrohemorrhages (>10 mm),²⁷ and hemorrhagic infarct transformation will be rated on 2D T2* gradient echo or 3D SWI sequences. In addition, the location of arterial occlusion, collateral circulation grade, and evidence of significant extracranial or intracranial large artery stenosis will be evaluated on MR angiography.

QC of semiquantitative phenotypes. Readers will be systematically trained on a standardized training set of AIS MRIs to attain the independent rater status (e.g., Fazekas score or CMBs). Furthermore, agreement between raters will be evaluated with the ICC for ordinal and continuous data, and a Cohen kappa will be used to assess interrater agreement for categorical data.

AIS subtyping. All patients with AIS in SiGN underwent extensive phenotyping through the web-based, standardized algorithm “Causative Classification of Ischemic Stroke” (details on CCS phenotyping in SiGN have been previously published).¹⁴ In brief, CCS incorporates multiple clinical symptoms, clinical examinations, and testing results obtained throughout the clinical stroke evaluation and assigns both a phenotypic CCS subtype based on abnormal testing results at the time of stroke and causative CCS (CCSc) subtyping based on prior medical history. If challenged with multiple potential causes of ischemic stroke, CCSc assigns the most likely cause of stroke based on clinical data. CCSc allocates one of 5 different causative and phenotypic subtypes based on symptoms, vascular risk factors, and diagnostic tests. The 5

major categories are large artery atherosclerosis (LAA), small artery occlusion (SAO), CE stroke, other, and undetermined causes of stroke. Known rare causes of ischemic stroke were excluded from MRI-GENIE. The exact workup was performed as clinically directed by individual study centers. This includes patients with rare monogenic causes of stroke (cerebral autosomal dominant arteriopathy with subcortical infarcts and leukoencephalopathy, mitochondrial encephalomyopathy, lactic acidosis, and stroke-like episodes, and sickle cell syndrome), infectious causes (infective endocarditis, meningitis, and primary infection of the arterial wall), hypercoagulability (acute disseminated intravascular coagulation and heparin-induced thrombocytopenia type II), distinct vascular and cardiac disorders (acute arterial dissection, dilated cardiomyopathy, papillary fibroelastoma, left atrial myxoma, and cerebral venous thrombosis), as well as migraine-related, drug-induced, or iatrogenic causes of ischemic stroke.

In addition to CCS, Trial of Org 10172 Acute Stroke Treatment (TOAST)²⁸ subtyping was conducted by the sites if required by the individual study protocols. Ischemic stroke cases are assigned to the most likely cause of stroke: LAA, SAO, CE, other, or undetermined. In SiGN, CCS and TOAST agree moderately (agreement rate 70%), varying by site and stroke subtype with LAA having the highest agreement across CCS and TOAST and SAO having the lowest agreement rate.²⁹ TOAST subtyping will be valuable in instances if future replication cohorts will not have appropriate CCS subtyping.

Functional outcomes and clinical characteristics. Baseline stroke severity was assessed using the NIH Stroke Scale,^{30,31} and the modified Rankin Scale (mRS)³² was used to assess functional outcomes at 3–6 months after stroke in a subset of the SiGN sites. These data will be available through collaboration with the Genetics of Ischemic Stroke Functional Outcome (GISCOME) study. The mRS measures the degree of dependence and disability after neurologic injury, ranging from

0 (asymptomatic) to 6 (death). Other clinical baseline characteristics were collected per protocol of the individual studies.

Genotyping and analysis strategy. Stroke cases were either genotyped previously and genotypes submitted to SiGN, or they were genotyped as part of SiGN, at the Center for Inherited Disease Research on the Illumina HumanOmni5Exome-4v1 array.¹⁴ This platform includes 4.5 million single nucleotide polymorphisms (SNPs) genotyped across the genome, resulting in excellent coverage of both common and infrequent variants (>1%). In addition, 240,000 rare polymorphic variants ($\leq 1\%$) were genotyped. The majority of cases are of European ancestry (table), but a small number of African Americans ($n = 249$, self-reported) and Hispanics ($n = 153$, self-reported) are available for analysis. The SiGN Data Management Core has undertaken the data cleaning and QC procedures for the primary analysis in SiGN. QC procedures included data cleaning by subjects with removal of samples with (1) a poor genotyping rate ($< 98\%$), (2) identity problems (sex mismatch, unexpected duplicates, and cryptic relatedness), (3) chromosomal anomalies, (4) batch effects, and (5) ethnic outliers. Poor-quality SNPs were identified on the basis of high levels of missingness. The cleaned data are maintained at the SiGN Data Management Core. The analysis plan for the MRI-GENIE portion of SiGN includes a principal component analysis to identify and account for population stratification in subsequent genome-wide association testing. Genotype imputation has been performed on the University of Michigan Imputation Server (imputationserver.sph.umich.edu/index.html)³³ using the Haplotype Reference Consortium panel.³⁴ The primary genetic analysis will be to test for the association of SNPs with the DWI and WMH volumes. The association analyses will be performed under a linear regression model with allelic dose (0, 1, or 2 copies of the reference allele) as the independent variable adjusted for age, sex, and population stratification as calculated by principal component analysis. DWI and WMH volumes will be adjusted for average head size and natural log transformed to facilitate modeling with linear regression because of nonlinear volume distributions. The current sample size of 3,301 participants will provide 80% power to detect variants accounting for as little as 1.2% of the variation in DWI or WMH at genome-wide levels of statistical significance (i.e., $p < 5 \times 10^{-8}$). Secondary analyses will include the modeling of CCS subtypes and ethnic group-specific analyses. SNPs found to be associated with WMHv and/or DWIv will be tested for association with 90-day mRS data available in the GISCOME study. Additional analyses will be performed to examine stroke subtype-specific genetic effects on DWIv and WMHv as well as the genetic underpinnings of additional neuroimaging markers.

Incoming sites. In the spirit of open collaboration, the MRI-GENIE Imaging Platform is available to collaborators. We are currently incorporating 8 new sites (estimated additional total $n = 3,890$) including (1) Secondary Prevention of Small Subcortical Strokes, $n \approx 1,000$, (2) Siblings With Ischemic Stroke Study, $n \approx 300$, and (3) Washington State University–St. Louis stroke patient collection, $n \approx 640$, (4) Helsinki-2000 study, $n \approx 300$, (5) Australian Stroke Genetics Cohort, $n \approx 100$, (6) Stroke in Young Fabry Patients, $n \approx 800$, (7) University of Campinas stroke patient collection, $n \approx 150$, and (8) Follow-up of Transient Ischemic Attack and Unelucidated Risk Factor Evaluation Study/Observational Dutch Young Symptomatic Stroke study, $n \approx 600$. These collaborations will lead to the development of one of the largest ($n \approx 7,000$) databases of patients with ischemic stroke with MRI and genome-wide genotyping available to date.

CONCLUSIONS Quantitative neuroimaging has recently been used to gain further insight into physiology and anatomy in both healthy participants (e.g., intracranial volume³⁵) and clinical cohorts (e.g., hippocampal volumes³⁶ and structural neuroimaging biomarkers³⁷ in Alzheimer disease). In this article, we aim to analyze acute (e.g., cerebral infarct volume) and chronic (e.g., WMH volume and cerebral microbleeds) neuroimaging phenotypes in patients with AIS. While genetic loci associated with WMH have previously been reported in healthy populations,^{38,39} no loci associated with WMH have yet been identified in patients with AIS, despite efforts with large sample sizes.⁴⁰ Similarly, no studies have linked specific genetic loci with cerebral microbleeds or stroke lesion volume. To facilitate these genetic studies, MRI-GENIE will develop, validate, and disseminate an automated analysis pipeline for large-scale phenotypic analysis of clinical brain MRI, as part of the future advances in personalized prediction modeling of stroke risk and outcomes.

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Design and rationale for examining neuroimaging genetics in ischemic stroke: The MRI-GENIE study

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